Customized FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY DOCKET NO P06939US00/LRP

U.S. APPLICATION NO. CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP99/02679 21 JANUARY 2000 03 JUNE 1998 TITLE OF INVENTION: PROCESS FOR PRODUCING FLUOROALKYLCARBOXYLIC ACID APPLICANT(S) FOR DO/EO/US: ICHIHARA, Kazuvoshi et al. Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371. 3. This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments had NOT expired. d. have not been made and will not be made. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A translation of the annexes to the Int'l Prelim. Exam. Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A First preliminary amendment. A Second or subsequent preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. 16. Other items or information: A copy of the Notification of Missing Requirements under 35 U.S.C. 371. In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c). Date: 16 NOVEMBER 2000

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□ 17. The following fees are submitted:						CALCULATIONS PTO USE ONLY		
Basic National	Fee (37 CFR 1.492 (a	a) (1)-(5):						
Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO \$1000								
Search Report has been prepared by the EPO or JPO \$ 860								
No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO \$ 710								
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CLAIMS					30 mos. +			
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Fee for recording the enclosed assignment, accompanied by a cover sheet - \$40 per property						\$ 40		
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At the address (below		A 000881	SIGNATURE: Josephun E Jenhun					
_ '			NAME	NAME: Douglas E. Jackson				
	& TAYLOR, PL TH FAIRFAX S		REG. NO.: 28518					
SUITE 900			PHONE NO.: 703-739-4900					
ALEXANDRIA, VA 22314				Date: 16 November 2000				

529 Rec'd PCT/PTC 16 NOV 2000 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Patent

In re application of ICHIHARA, et al.

New Application) Atty's Dckt:

Filed: On even date herewith) Application Branch

For: PROCESS FOR PRODUCING FLUOROALKYLCARBOXYLIC ACID

PRELIMINARY AMENDMENT

Hon. Assistant Commissioner of Patents

Washington, D.C. 20231

SIR:

Preliminary to the examination thereof, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 4, lines 1 and 2, delete "any one of claims 1-3", and insert therefor --claim 1--.

Claim 6, lines 1 and 2, delete "any one of claims 1-4", and insert therefor --claim 1--.

REMARKS

The above amendments are being made in order to place the application in better condition for examination and to reduce the filing fee.

Favorable consideration is respectfully requested.

Respectfully submitted,

Date: 11/16/00

By: Douglas E. Jackson Registration No. 28518

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DESCRIPTION

PROCESS FOR PRODUCING FLUOROALKYLCARBOXYLIC ACID TECHNICAL FIELD

This invention relates to a process for

producing a fluoroalkylcarboxylic acid that is a compound industrially useful as a starting material for the production of surfactants, pharmaceuticals, agricultural chemicals.

BACKGROUND ART

10 Much research has been carried out on methods for synthesizing carboxylic acid. Such methods are one of the important reaction technologies in synthetic organic chemistry. Known reactions for oxidizing alcohols, aldehydes and the like include an oxygen oxidation reaction using a solid catalyst; an oxidation reaction 15 using a chemical oxidizer such as chromic acid, potassium permanganate, nitric acid or the like; and a liquid phase autoxidation reaction. These conventional methods. however, have problems such as high costs of solid 20 catalysts, difficulty in selective conversion to carboxylic acid in some cases, and high toxicity of many chemical oxidizers.

Methods are known for oxidizing a fluoroalkyl alcohol to the corresponding fluoroalkylcarboxylic acid with a comparatively high yield. Such methods include

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methods using a chemical oxidizer, for example, potassium dichromate/sulfuric acid (T. Hudlicky et al., J. Fluorine Chem., (1992), 59(1), 9-14), potassium permanganate (I. Lehms et al., DD 268685), or nitrogen dioxide (R. M. Scribner, J. Org. Chem., (1964), vol.29, 279-283 or ibid., (1964), vol.29, 284-286); and methods using an organic or inorganic acid copper salt catalyst/alkali/oxygen (I. P. Skibida et al., WO 93/12059). These methods, however,

have the following problems. The method using chromic acid or potassium permanganate has waste disposal problems after reaction. According to the method using nitrogen dioxide, the reaction takes 10 hours or more using 2 equivalents of nitrogen dioxide. Moreover, use of an increased amount of nitrogen dioxide and/or a higher reaction temperature will increase byproducts. The oxidation method using an organic or inorganic acid copper

salt catalyst/alkali/oxygen only achieves a low selectivity to carboxylic acid and also has separation and purification problems such as difficulty in removal of the 20 catalyst and the solvent after reaction.

Methods for oxidizing a fluoroalkyl alcohol to the corresponding fluoroalkylcarboxylic acid using nitric acid are described, for example, in D. R. Bear, Ind. Eng. Chem., (1959), vol.51, 829-830 and in Y. Desirant, Bull. Sci. acad. roy. Belg., (1929), vol.15, 966-982. However,

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Desirant reports that the method has the following problems: the reaction requires using about 2.5 equivalents of nitric acid relative to the alcohol and it takes 2.5 days under reflux to complete the reaction. In hydrocarbon oxidation using nitric acid, it is known that oxygen is introduced into the reaction system to reduce the required amount of nitric acid to a stoichiometric amount or less relative to the reaction substrate (John W. Ager, Jr. DE 2116212). Such technique, however, is not known in fluoroalkyl alcohol oxidation using nitric acid.

DISCLOSURE OF INVENTION

A principal object of this invention is to obviate the defects of the conventional production methods and provide a process for producing a fluoroalkylcarboxylic acid with high selectivity at low costs.

The present inventors carried out extensive research in view of the above prior art problems and found that fluoroalkylcarboxylic acids can be produced with high conversion and high selectivity by oxidizing fluoroalkyl alcohols using nitric acid as an oxidizing agent.

The present invention provides the following processes for preparing fluoroalkylcarboxylic acids.

1. A process for producing a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is a C_{1-16} fluoroalkyl

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group, which comprises oxidizing a fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above using nitric acid.

- The process according to item 1 wherein the oxidation
 is carried out in the presence of a metal catalyst.
 - 3. The process according to item 2 wherein the metal
 - catalyst is at least one metal selected from the group consisting of iron, nickel, copper and vanadium, or at least one oxide or salt of these metals.
- 10 4. The process according to any one of items 1-3 wherein a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is as defined above has been placed and is present in the reaction system at the beginning of the reaction.
 - 5. The process according to any one of items 1-4 wherein oxygen is fed into the reaction system during the reaction.
 - 6. The process according to item 5 wherein oxygen is fed into the reaction system to reduce the required amount of nitric acid to a stoichiometric amount or less relative to the fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above.

The method of the present invention comprises converting a fluoroalkyl alcohol into the corresponding fluoroalkylcarboxylic acid by oxidation using nitric acid.

The fluoroalkyl alcohol used in the present invention is represented by the formula RfCH2OH wherein Rf

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is a C_{1-16} fluoroalkyl group. Specific examples include fluoroalkyl alcohols represented by $H(CF_2)_nCH_2OH$ or $F(CF_2)_nCH_2OH$ wherein n is an integer of 1 to 16. Of the alcohols represented by $H(CF_2)_nCH_2OH$, preferable are those wherein n is an integer of 2, 4, 6, 8, 10, 12, 14 or 16. Of the alcohols represented by $F(CF_2)_nCH_2OH$, preferable are those wherein n is an integer of 1 to 3.

The nitric acid used in the present invention has a concentration of 5% or higher, preferably 30% to 70%.

The molar ratio of nitric acid to the starting fluoroalkyl alcohol is 2 or less, i.e., a stoichiometric amount or less, and is usually in the range of 0.1 to 2, preferably 0.3 to 1.

The molar ratio of nitric acid to the starting alcohol may vary depending on the starting alcohol. As the fluoroalkyl group of the starting fluoroalkyl alcohol has a longer chain, a larger molar ratio of nitric acid to the fluoroalkyl alcohol is preferred.

The metal catalyst to be used in the present
invention is preferably at least one metal such as iron,
nickel, copper, vanadium and the like, or at least one
oxide or salt of these metals, of which copper powder,
iron chloride (III), iron chloride (III), nickel chloride,
copper chloride, ammonium vanadate and vanadium oxide (V)
are particularly preferred.

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The weight ratio of the metal catalyst to the starting alcohol is usually at least 0.000001, preferably in the range of 0.00001 to 0.1. The use of an increased amount of the metal catalyst can reduce the reaction pressure and reaction temperature. An excess of the metal catalyst, however, will convert the reaction product fluoroalkylcarboxylic acid to a metal salt, thus adversely affecting the separation and purification operation.

The reaction is usually carried out at temperatures in the range of 80°C to 200°C, preferably 100°C to 150°C.

By the end of the reaction, the reaction pressure may increase to a maximum of 2.5 MPa (gauge pressure). In consideration of the price of the reactor and other factors, it is preferable that the reaction be carried out while controlling the reaction pressure. A preferable method for controlling the reaction pressure comprises supplying oxygen so as to control the reaction pressure to 0.4 to 1.0 MPa (gauge pressure) during the reaction.

Feeding oxygen into the reaction system not only reduces the molar ratio of nitric acid to a fluoroalkyl alcohol but also helps to control the reaction pressure. Furthermore, it is unnecessary to eliminate nitrogen oxides during the reaction. A preferred method for

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feeding oxygen into the reaction system comprises supplying oxygen at any time when necessary during the reaction.

Oxygen is continuously fed into the reaction system until the conversion of the starting fluoroalkyl alcohol reaches 100%. The required amount of oxygen is 0.7 to 0.9 mole per mole of the starting fluoroalkyl alcohol.

In pressure control using oxygen, it is preferable that as the fluoroalkyl group of the starting fluoroalkyl alcohol has a longer chain, the reaction pressure should be set to a higher value.

When a fluoroalkylcarboxylic acid is placed into the reaction system before reaction so that carboxylic acid is present at the beginning of the reaction, the reaction pressure may be set to a lower value. The amount of the fluoroalkylcarboxylic acid is preferably 0.01 to 2 moles, more preferably 0.1 to 1 mole, per mole of the starting fluoroalkyl alcohol.

The reaction time is usually within the range of about 10 to about 20 hours. As the reaction pressure is set to a higher value, a shorter reaction time will result. When a fluoroalkylcarboxylic acid and/or a metal salt catalyst is present, the reaction time can be reduced to 4 to 8 hours.

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Upon completion of the reaction, nitrogen oxides can be removed by a known removal method such as a dry method, a wet method or the like.

When the obtained fluoroalkylcarboxylic acid of

the formula RfCOOH is a fluoroalkylcarboxylic acid wherein
Rf is a fluoroalkyl group having at least 4 carbon atoms,
the reaction mixture separates into two layers of liquids
upon completion of the reaction. The upper layer is a
nitric acid layer. The fluoroalkylcarboxylic acid in a

concentrated form is present in the lower layer.

Fluoroalkylcarboxylic acid, which is the desired compound of the present invention, can be isolated and purified by known methods. Examples of useful procedures are extraction, distillation, recrystallization, column chromatography and the like.

The method according to the present invention obviates the defects of the conventional production methods and produces a fluoroalkylcarboxylic acid with high selectivity at low costs.

BEST MODE FOR CARRYING OUT THE INVENTION

The present invention will be described below in more detail with reference to Examples.

Example 1 (a case in which the reaction pressure was controlled using oxygen)

25 H(CF₂)₆CH₂OH (664.00 g, 2.00 moles), 55% nitric

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acid (114.55 g, 1.00 mole) and FeCl, 'nH2O (0.0066 g) were placed into an autoclave equipped with a 1000-ml glass pressure vessel, a fluoroplastic upper cover, stirring blades, a thermometer protection tube, a fluoroplastic insert tube, a pressure gauge, a safety valve and a supply line from an oxygen bomb. The mixture was stirred with heating, upon which reaction pressure began to increase. 3.1 hours after the start of heating, the reaction 10 increased to 0.6 MPa (gauge pressure; the same hereinafter). From that time, oxygen was fed into the gas phase at various times in an amount of 0.35 g (11.00 mmoles) per time, whereby the reaction pressure was controlled to 0.6 MPa. 6.5 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [H(CF2)6CH2OH conversion: 100.0 g.c.% (gas chromatography %; the same hereinafter); H(CF2)6COOH selectivity: 100.0 g.c.%]. In total, 46.72 g (1.46 moles) of oxygen was fed into the gas phase by the end of the reaction. After completion of the reaction, oxygen was continuously supplied so as to convert residual nitrogen oxides into nitric acid. Then the residual pressure was released. Because of the reaction mixture being provided in the form of two layers of liquids,

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765.78 g of a crude carboxylic acid $[H(CF_2)_6COOH]$ was obtained in a concentrated form from the lower layer by means of liquid-liquid separation at the cease of stirring. The crude carboxylic acid was purified by distillation under reduced pressure, giving 499.75 g of a carboxylic acid $[H(CF_2)_6COOH]$ in high purity (99 g.c.% or higher) with an isolation yield of 65.26 mole %. Example 2 (a case in which a fluoroalkyl group having a long chain was used)

H(CF₂)₈CH₂OH (500.00 g, 1.16 moles), 55% nitric acid (132.87 g, 1.16 moles) and FeCl2 nH2O (0.0050 g) were placed into the autoclave used in Example 1. The mixture was heated with stirring in the same manner as in Example 1. 3.8 hours after the start of heating, the reaction temperature rose to 125°C and the reaction pressure increased to 0.8 MPa. From that time, oxygen was fed into the gas phase in the same manner as in Example 1, whereby the reaction pressure was controlled at 0.8 MPa. 7.0 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [H(CF₂)₈CH₂OH conversion: 100.0 g.c.%; H(CF₂)₈COOH selectivity: 99.6 g.c.%]. The same procedure as in Example 1 was followed and 651.93 g of a crude carboxylic acid [H(CF2)8COOH] was obtained in a concentrated form

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from the lower layer by means of liquid-liquid separation. The crude carboxylic acid was purified by distillation under reduced pressure, giving 475.13 g of a carboxylic acid $[H(CF_2)_8COOH]$ in high purity (96 g.c.% or higher) with an isolation yield of 72.88 mole %.

Example 3 (a case in which a fluoroalkylcarboxylic acid was added before reaction)

 $H(CF_2)_8CH_2OH$ (518.40 g, 1.20 moles), $H(CF_2)_8COOH$ (269.60 g, 0.60 mole), 55% nitric acid (137.45 g, 1.20 moles) and FeCl2 • nH2O (0.0079 g) were placed into the autoclave used in Example 1. The mixture was heated with stirring in the same manner as in Example 1. 2.6 hours after the start of heating, the reaction temperature rose to 125°C and the reaction pressure increased to 0.6 MPa. From that time, oxygen was fed into the gas phase in the same manner as in Example 1, whereby the reaction pressure was controlled at 0.6 MPa. 5.4 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [H(CF2)8CH2OH conversion: 100.0 q.c.%; H(CF₂)₀COOH selectivity: 99.6 q.c.%1. The same procedure as in Example 1 was followed and 835.36 g of a crude carboxylic acid [H(CF2)8COOH] was obtained in a concentrated form from the lower layer by means of liquidliquid separation. The crude carboxylic acid was purified

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by distillation under reduced pressure, providing 586.04 g of a carboxylic acid $[H(CF_2)_8COOH]$ in high purity (96 g.c.% or higher) with an isolation yield of 72.19 mole %. By subtracting the amount of $H(CF_2)_8COOH$ originally fed, the amount of $H(CF_2)_8COOH$ produced in Example 3 was found 392.85 g.

Example 4 (a case of not separating into a nitric acid layer and another liquid layer)

CF2CH2OH (200.00 g, 2.00 moles), 55% nitric acid (114.55 g, 1.00 mole) and FeCl2 · nH2O (0.0020 g) were placed into the autoclave used in Example 1. The mixture was heated with stirring in the same manner as in Example 1. 3.0 hours after the start of heating, the reaction temperature rose to 125℃ and the reaction pressure increased to 0.75 MPa. From that time, oxygen was fed into the gas phase in the same manner as in Example 1, whereby the reaction pressure was controlled at 0.75 MPa. 6.0 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [CF3CH2OH conversion: 100.0 g.c.%; CF3COOH selectivity: 98.5 g.c.% or higher]. The same procedure as in Example 1 was followed, thus giving an agueous nitric acid solution of CF₃COOH (361.18 g, a material balance of 99.43 mass %).

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CLAIMS

- 1. (Amended) A process for producing a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is a C_{1-16} fluoroalkyl group, which comprises oxidizing a fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above using nitric acid and feeding oxygen into the reaction system during the oxidation reaction.
- The process according to claim 1 wherein the oxidation is carried out in the presence of a metal catalyst.
- 3. The process according to claim 2 wherein the metal catalyst is at least one metal selected from the group consisting of iron, nickel, copper and vanadium, or at least one oxide or salt of these metals.
- 4. The process according to any one of claims 1-3 wherein a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is as defined above is present in the reaction system at the beginning of the reaction.
 - 5. (Cancelled)
- 6. (Amended) The process according to any one of claims 1-4 wherein oxygen is fed into the reaction system to reduce the required amount of nitric acid to a stoichiometric amount or less relative to the fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above.

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ABSTRACT

The present invention provides a process for producing a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is a C₁₋₁₆ fluoroalkyl group, which comprises oxidizing a fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above using nitric acid.

The process according to the present invention produces a fluoroalkylcarboxylic acid with high

10 selectivity at low costs.

Patent

COYCORSE Ø1 C)

529 Rec'd PCT/PTC 1 6 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: ICHIHARA et al.

Examiner:

Serial No.: New Application Filed: On even date herewith

Art Unit:

For: PROCESS FOR PRODUCING

Attv. Dckt No.: P06939US00

FLUOROALKYLCARBOXYLIC ACID

CHANGE OF CORRESPONDENCE ADDRESS CUSTOMER NUMBER DESIGNATION

Honorable Assistant Commissioner for Patents Washington, D.C. SIR

Henceforth, please change the correspondence address of the above identified application to the correspondence address associated with the CUSTOMER NUMBER identified below, or to the (same) correspondence address shown below if the Customer Number designation cannot be used.

LARSON & TAYLOR, PLC Transpotomac Plaza

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1199 North Fairfax Street Suite 900

Alexandria, VA 22314

In addition, please also appoint the practitioners (of LARSON & TAYLOR, PLC) associated with this Customer Number to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If there is any problem in changing the correspondence, please contact the undersigned immediately by telephone at 703-739-4900.

Respectfully submitted,

Date: 11/16/00

By: Douglas El Jackson Registration No.: 28518

LARSON & TAYLOR, PLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314

DEC	LARATION FOR USA PA (including Design and Nation	TENT APPLICATION	
3	(including Design and Natio	nal Stage PCT) Attorney's Doc	ket ID:
As a below named inventor, I hereby dec My residence, post office address and citizen is listed below) or an original, first and joint	clare that: aship are as stated below adjacent to my not inventor (if plural names are listed below	ame. I believe I am the original, first and so) of the subject matter which is claimed and	e inventor (if only one name for which a patent is sought
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hereby state that I have reviewed and under above. I acknowledge the duty to disclo	stand the contents of the above-identified s use information which is material to patent	pecification, including the claims, as amende ability as defined in Title 37, Code of Feder	I by any amendment referred al Regulations, § 1.56.
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rior Foreign Application(s) (ADD	ITIONAL APPLICATIONS IDENTIFIED	ON ATTACHED SHEET):	
Number	Country	Day/Month/Year Filed	Priority Not Claimed
1998-154507	Japan	03/06/1998	
hereby claim the benefit under Title 35, Unit he U.S., listed below, and insofar as the sut application in the manner provided by the first patentability as defined in Title 37, Code or PCT international filing date of this appli Application Serial No.	led States Code, § 120 of any United States oject matter of each of the claims of this as st paragraph of Title 35, United States Cod f Federal Regulations § 1.56 which became cation	application(s), or §365(c) of any PCT Interna pplication is not disclosed in the prior Unites e, § 112, I acknowledge the duty to disclose is available between the filing date of the prio IONS IDENTIFIED ON ATTACHED SHE	ional application designating I States or PCT International Information which is material r application and the national ET.) ted, pending, abandoned
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